

**AFSA NOTICE №AFSA-Q-NB-2020-0001 DATED 13 APRIL 2020
ON POSTPONEMENT OF THE DEADLINES FOR SUBMISSION OF
REPORTS BY AUTHORISED FIRMS**

THE ASTANA FINANCIAL SERVICES AUTHORITY (AFSA) HEREBY GIVES NOTICE THAT:

In purpose of response on the impacts and challenges and support National actions aimed to mitigate the risks raised by COVID-2019 epidemics, the AFSA extends the submission period for reports to be submitted by 30th April 2020 for 30 calendar days after the end of the State of Emergency in the Republic of Kazakhstan or until provision of further instructions.

This Notice is applicable for the Returns listed below:

- 1) Audited Financial Report for 2019;
- 2) Annual Prudential Returns (under the AIFC Banking Business Prudential Rules, AIFC Prudential Rules for Investment Firms, AIFC Prudential Rules for Insurance and Reinsurance Rules, AIFC Prudential Rules for Insurance Intermediaries and Insurance Managers and complementary manuals);
- 3) Quarterly Prudential Returns (under the AIFC Banking Business Prudential Rules, AIFC Prudential Rules for Investment Firms, AIFC Prudential Rules for Insurance and Reinsurance Rules, AIFC Prudential Rules for Insurance Intermediaries and Insurance Managers and complementary manuals);
- 4) Annual AML Return; and
- 5) Annual Conduct Report.

EFFECTIVE PERIOD

This notice comes into effect on the date of its issue.

INTERPRETATION

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the AIFC Glossary. Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

THIS NOTICE IS ISSUED PURSUANT TO SECTION 9 OF THE FINANCIAL SERVICES FRAMEWORK REGULATIONS BY THE ASTANA FINANCIAL SERVICES AUTHORITY