

Authorised Firms

No	Name	Legal status	Date of Licence	Licence Number	Regulated Activity	Approved Individual(s)/ Principle Representative	Effective date	Controlled Function(s)
1	China Development Bank Astana Representative Office	AIFC Participant (Recognised Company)	16.03.2018	032018-002	Operating a Representative Office	Liu Tao	16.03.2018	Senior Executive Officer, Finance Officer, Compliance Officer, Director
2	Representative Office of the Paladigm Capital Pte. Ltd.	AIFC Participant (Recognised Company)	30.07.2018	072018-005	Operating a Representative Office	Aiman Meldebekova	30.07.2018	N/A
3	Subsidiary Organization of Halyk Bank of Kazakhstan “Halyk Finance” JSC doing business in the AIFC as Halyk Finance Astana	AIFC Participant (Recognised Company)	07.11.2018	112018-008	Dealing in Investments as Principal; Dealing in Investments as Agent; Managing Investments; Advising on Investments; and Arranging Deals in Investments;	Dinara Assambayeva	07.11.2018	Senior Executive Officer
						Raushan Tundybayeva		Compliance Officer
			04.03.2019		Managing a Collective Investment Scheme	Laura Suleimenova	29.10.2019	Finance Officer
4	SkyBridge Invest	AIFC	21.11.2018	112018-	Dealing in	Zamira	21.11.2018	Senior Executive

	JSC doing business in the AIFC as "Branch of SkyBridge JSC in the AIFC"	Participant (Recognised Company)		012	Investments as Principal; Dealing in Investments as Agent; Managing Investments; Managing a Collective Investment Scheme Advising on Investments; and Arranging Deals in Investments	Sundetova		Officer
						Irina Davletshina	02.08.2019	Finance Officer
						Altynay Beisenova	21.11.2018	Compliance Officer
5	JSC Altyn Bank (SB China CITIC Bank Corporation Ltd) doing business in the AIFC as Branch of the JSC Altyn Bank (SB China CITIC Bank Corporation Ltd)	AIFC Participant (Recognised Company)	15.01.2019	012019-002	Accepting Deposits; Providing Credit; Providing Money Services; Dealing in Investments as Principal; and Dealing in Investments as Agent	Togzhan Kairbekova	14.01.2020	Senior Executive Officer
						Ainur Danyarova	15.01.2019	Compliance Officer
						Amangeldy Karzhaubekov	15.01.2019	Finance Officer
6	China Construction Bank Corporation doing business in the AIFC as China Construction Bank	AIFC Participant (Recognised Company)	28.03.2019	AFSA-A-LA-2019-0007	Dealing in Investments as Principal; Dealing in Investments as Agent; Arranging Custody; Advising on Investments; Arranging Deals in Investments;	Wang Songhua	28.03.2019	Senior Executive Officer and Finance Officer
						Xiong Yunguo		Risk Manager
						Ainur Zhakenova	04.09.2019	Compliance Officer

	Corporation Astana Branch				Accepting Deposits; Providing Credit; Advising on a Credit Facility; Arranging a Representative Office			
7	Blackfort Capital AG doing business in the AIFC as Representative Office of Blackfort Capital	AIFC Participant (Recognised Company)	09.07.2019	AFSA-A-LA-2019-0032	Operating a Representative Office	Temir Sembinov	09.07.2019	N/A
8	Green Future Invest Limited	AIFC Participant (Private Company)	11.09.2019	AFSA-A-LA-2019-0046	Managing a Collective Investment Scheme in respect of Exempt Funds	Madina Jiyenbayeva	11.09.2019	Director
						Saule Zhankuliyeva	11.09.2019	Director
						Dauren Tasmagambetov	11.10.2019	Finance Officer
						Yersain Ospanov	11.09.2019	Compliance Officer
						Yelzhas Otyynshiyev	09.12.2019	Senior Executive Officer and Director

9	Clarus Capital Group AG doing business in the AIFC as Representative Office of Clarus Capital Group AG	AIFC Participant (Recognised Company)	03.10.2019	AFSA-A-LA-2019-0050	Operating a Representative Office	Max Christophe Evard	03.10.2019	N/A
10	LARECO Underwriting Agency Limited	AIFC Participant (Private Company)	12.06.2019	AFSA-A-LA-2019-0057	Insurance Intermediation	Uwe Christian Eschner	12.06.2019	Director;
						Iias Wagner		Director
						Svetlana Kholmovaya		Finance Officer
						Aliya Boranbay		Compliance Officer
						Benoit Girard		Senior Executive Officer and Director
11	SMART CAPITAL MANAGEMENT Limited	AIFC Participant (Private Company)	19.12.2019	AFSA-A-LA-2019-0061	Advising on Investments; Arranging Deals in Investments	Serzhan Madiyev	19.12.2019	Senior Executive Officer and Director
						Adil Nurgozhin		Director
						Anuar Seifullin		Director
						Mikhail Lim		Finance Officer
						Ainur Abilayeva		Compliance Officer
12	Kazakhstan	AIFC	24.12.2019	AFSA-A-	Effecting Contracts of	Yelzhas	24.12.2019	Director

	Energy Reinsurance Company Ltd	Participant (Private Company)		LA-2019-0062	Insurance; Carrying on Contracts of Insurance	Korabayev		
						Daniyar Idrissov		Director
						Bakhtiyar Issayev		Director
						Dauren Kabylkakov		Insurance Risk Manager
						Sholpan Zhumanova		Compliance Officer
						Talgat Tursumbekov		Senior Executive Officer and Director
13	Hungarian Export-Import Bank Private Limited Company doing business in the AIFC as Representative Office of Eximbank in Nur-Sultan	AIFC Participant (Recognised Company)	24.12.2019	AFSA-A-LA-2019-0065	Operating a Representative Office	Marton Kovacs	24.12.2019	N/A

14	Tengri Partners Investment Banking (Kazakhstan) JSC doing business in the AIFC as Tengri Partners Investment Banking (AIFC)	AIFC Participant (Recognised Company)	21.01.2020	AFSA-A-LA-2020-0002	Dealing in Investments as Principal; Dealing in Investments as Agent; Managing Investments; Advising on Investments; Arranging Deals in Investments; Managing a Collective Investment Scheme; Providing Fund Administration	Anuar Ushbayev	21.01.2020	Senior Executive Officer
						Togzhan Zhailganova		Compliance Officer
						Elena Mazur		Finance Officer
15	Quantum Capital Limited	AIFC Participant (Private Company)	07.02.2020	AFSA-L-LA-2020-0005	Managing Investments; Advising on Investments; Arranging Deals in Investments	Akhmet Byashimov	07.02.2020	Senior Executive Officer and Director
						Timirlan Gumbatov		Finance Officer and Director

						Artur Dzaurov		Compliance Officer
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